



06-06-05

IFW

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/888,008
Filing Date	June 22, 2001
First Named Inventor	Thomas P. Kasten, et al.
Art Unit	1651
Examiner Name	Ralph J. Gitomer
Total Number of Pages in This Submission	Attorney Docket Number
	3352/US (6794-000127/US)

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Request for Corrected Filing Receipt;</b> <b>Marked-up Copy of Filing Receipt; Declaration;</b> <b>Decision to Grant \$1.48 (a) petition</b>
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Lydia N. Nenow	Reg. No.	52,530
Signature					
Date	June 3, 2005				

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Lydia N. Nenow	Express Mail Label No.	EV 726253995 US
Signature		Date	June 3, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 726253995 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Thomas P. Kasten, et al.  
Serial No: 09/888,008  
Filed: June 22, 2001  
Title: Ion-Exchange Resin/Enzyme Activity Assay  
Confirmation No: 8520  
Group Art Unit: 1651  
Examiner: Ralph J. Gitomer  
Attorney Ref: 3352/US  
Pfizer Ref: 31265/US  
HDP Ref: 6794-000127/US

June 3, 2005

**REQUEST FOR CORRECTED FILING RECEIPT**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir/Madam:

Applicants respectfully request that the Patent Office issue a corrected filing receipt in the above-referenced patent application. The January 15, 2002 filing receipt erroneously lists the name of inventor Kasten as Karsten. The correct name is **Thomas P. Kasten**. Applicants also request that the corrected filing receipt list **Kay O. Broschat** as an applicant to reflect the grant of the petition under 37 CFR §1.48(a) to add her as an inventor.

In support of their request, Applicants have enclosed a copy of the declaration filed on November 27, 2001 showing inventor Kasten's correct name, a copy of the May 25, 2005 decision to grant the petition under 37 CFR §1.48(a), and a marked-up copy of the filing receipt showing the changes requested by Applicants.

Applicants believe that they do not owe any fee(s) in connection with this request for corrected filing receipt. If, however, Applicants do owe such fee(s), the Commissioner is hereby authorized to charge those fee(s) to Deposit Account No. **08-0750**. And if there is ever any other fee deficiency or overpayment under 37 C.F.R. § 1.16 or § 1.17 in connection with this patent

EV 726253995 US

U.S. Patent Application No. 09/888,008  
Request for Corrected Filing Receipt  
June 3, 2005

application, the Commissioner is hereby authorized to charge such deficiency or overpayment to  
Deposit Account No. **08-0750**.

Respectfully submitted,



Lydia N. Nenow, PTO Reg. No. 52,530  
Harness, Dickey & Pierce, P.L.C.  
7700 Bonhomme, Suite 400  
St. Louis, Missouri 63105  
(314) 726-7500 (tel)  
(314) 726-7501 (fax)

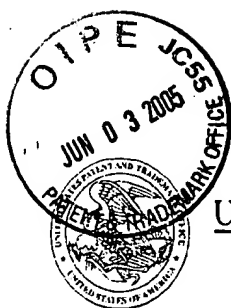
**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I certify that this correspondence is being deposited with the U.S. Postal Service on **June 3, 2005** with sufficient postage as first class mail (including Express Mail per MPEP §512), and addressed to **Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450**.



Lydia N. Nenow, PTO Reg. No. 52,530

LNN/LVE

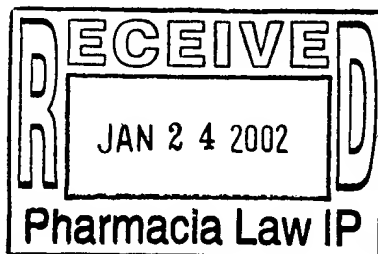


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/888,008	06/22/2001	1744	1976	3352/US		26	10

Pharmacia Corporation  
Corporate Patent Dept.  
800 N. Lindbergh, Mail Zone 04E  
St. Louis, MO 63167



CONFIRMATION NO. 8520

UPDATED FILING RECEIPT



\*OC000000007313364\*

Date Mailed: 01/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*KASTEN*

Thomas P. ~~Kasten~~, O'Fallon, IL;  
Mark G. Currie, Marlborough, MA;  
William M. Moore, St. Charles, MO;

*KAY O. BROSCAT, ST. LOUIS, MO*

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/213,354 06/22/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: 04/25/2002

Non-Publication Request: No

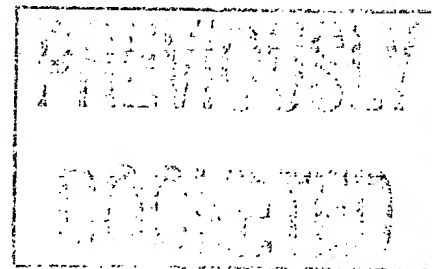
Early Publication Request: No

## Title

Ion-exchange resin / enzyme activity assay

## Preliminary Class

435

*KC 1/25/02*

RECEIVED

JAN 24 2002

PHARMACIA  
GLOBAL PATENT DEPT.  
ST. LOUIS, MO

EV 726253995 US

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

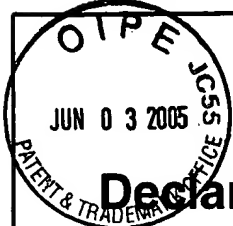
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.  
3352/US

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 06/22/2001 as United States Application No. or PCT International Application Number 09/888,008 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)



(Number)

(Country)

(Day/Month/Year Filed)



(Number)

(Country)

(Day/Month/Year Filed)



EV 726253995 US

60/213,354	06/22/2000
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**S. Christopher Bauer (42,305)**

**James C. Forbes (39,457)**

**Robert M. Kennedy (28,206)**

**Verne A. Luckow (45,950)**

**Richard A. Mueller (43,864)**

**Rachel A. Polster (47,004)**

**James M. Warner (45,199)**

**Dennis A. Bennett (34,547)**

**J. Timothy Keane (27,808)**

**Karen B. King (41,898)**

**Scott J. Meyer (25,275)**

**Philip B. Polster II (43,864)**

**Joseph R. Schuh (48,180)**

**Scott A. Williams (39,876)**

**Send Correspondence to:**

**Rachel A. Polster**

**800 N. Lindbergh/O4E**

**St. Louis, MO 63167**

**Direct Telephone Calls to:** *(name and telephone number)*

**Rachel A. Polster 636-737-5761**

Full name of sole or first inventor <b>Thomas P. Kasten</b>	
Sole or first inventor's signature <i>Tom Kasten</i>	Date
Residence <b>United States of America</b>	
Citizenship <b>United States of America</b>	
Post Office Address <b>537 MillBurnSchool Rd.</b>	
<b>O'Fallon, IL 62269</b>	

Full name of second inventor, if any <b>Mark G. Currie</b>	
Second inventor's signature <i>Mark G. Currie</i>	Date <i>10/23/01</i>
Residence <b>United States of America</b>	
Citizenship <b>United States of America</b>	
Post Office Address <b>37 Hasmer St. Arbor Ct. Apt. #13 Bldg. 27</b>	
<b>Marlborough, MA 01752</b>	



Full name of third inventor, if any <b>William M. Moore</b>	
Third inventor's signature <i>William M. Moore</i>	Date <i>11-20-01</i>
Residence <b>United States of America</b>	
Citizenship <b>United States of America</b>	
Post Office Address <b>1622 Boon Dr.</b>	
<b>St. Charles, MO 63303</b>	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	



UNITED STATES PATENT AND TRADEMARK OFFICE

6794-000127/US

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/888,008	06/22/2001	Thomas P. Karsten	3352/US	8520

7590

05/25/2005

HARNESS, DICKEY & PIERCE, P.L.C.  
7700 BONHOMME  
SUITE 400  
CLAYTON, MO 63105

RECEIVED

HARNESS, DICKEY & PIERCE  
ST. LOUIS, MISSOURI

EXAMINER

GITOMER, RALPH J

ART UNIT

PAPER NUMBER

1651

DATE MAILED: 05/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

EV 726253995 US

Best Available Copy



UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO/ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
--------------------------------	-------------	---	---------------------

EXAMINER
----------

ART UNIT	PAPER
----------	-------

20050524

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

In view of the papers filed 10/17/2003, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by the addition of Kay O. Broschat.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of Office records to reflect the inventorship as corrected.

*Ralph Gitomer*

Ralph Gitomer  
Primary Examiner  
Art Unit: 1651